

MAJOR SANCTIONED COUNTRIES AND REGIONS QUESTIONNAIRE

FINANCIAL INSTITUTIONS FORM

Confidential information

As part of BNP Paribas' ("BNPP") client due diligence, BNPP endeavours to understand whether, and to what extent, its clients have activities with or in certain sensitive or Major Sanctioned Countries or Regions.

The Major Sanctioned Countries and Regions Questionnaire ("MSCQ") is utilized to identify and quantify the aggregate direct and indirect exposure related to BNPP in-scope clients' involvement with these countries.

It is a general policy of BNPP, based on the internal assessment of the risks and the economic situation, to generally not process or otherwise engage in any activity (even when such activity may be legally permitted) for, on behalf of, or for the benefit of, a sanctioned individual, entity, or organization targeted by French, European Union, or United States authorities, or other applicable sanctions regimes, notably any activity involving directly or indirectly: CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA or SYRIA (collectively, "Major Sanctioned Countries and Regions" or "MSCs"); any activity involving directly or indirectly the non-government controlled areas of Ukraine in the oblasts of Donetsk, Kherson, Luhansk, and Zaporizhzhia; and any activity tied to technology, defence and related material, construction, aerospace, or manufacturing sectors of the Russian Federation economy, payments from or to Russia, import or export from/to Russia of products/goods and items or technology that fall under current applicable EU-US sanctions and referred to in the **Russia Addendum**.

BNPP complies with all applicable laws of jurisdictions in which it operates and does not further support or otherwise participate in unlawful boycotts. If your company responds to any of the questions below in the affirmative, this does not necessarily mean that BNPP would reject your company as a client or terminate its relationship with your company.

Please provide the following information as requested below on behalf of your company and your company's group of entities. The reference period is the preceding 12 months or the 12 month period corresponding with your company's most recent accounting period.

Additional information may be requested upon review.

PLEASE COMPLETE AND RETURN THIS QUESTIONNAIRE



BNP PARIBAS

PLEASE COMPLETE AND RETURN THE QUESTIONNAIRE*MSCs – CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA and SYRIA*

To the best of your knowledge, please answer the questions below regarding your direct and/or indirect¹ exposure to MSCs.

Does your company or any member of your company's Control Perimeter²:

- Have a location in, is incorporated in or have any offices in an MSC; and/or
- have transactions, investments, dealings ("Activities") or Planned Activities³ in an MSC, or
- have any Activities or Planned Activities with (or in) any individual located of resident, or entity organized in an MSC or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in an MSCs (in each case, including with intermediaries acting on behalf of or engaging in related Activities with MSCs or MSC-based entities)?

Please choose:

- YES ☐
- NO ☐

◆————→ If YES, please complete page 3 and fill out the rest of the questionnaire.

◆————→ If NO, please complete page 3 only.

In accordance with the relevant data protection regulations, you have a right to access, correct and delete information about yourself, as well as a right to specify how such data is to be treated upon your death, which you can exercise by contacting your BNP Paribas Relationship Manager. You may also object to the processing of your personal data on grounds of your particular personal circumstances.

¹ Indirect Activities include, but are not limited to participating in, facilitating, making available funds or resources for, or aiding transactions, investments, dealings with, goods, services or technology that come from or are destined to MSCs, including with third party intermediaries or banks/financial institutions that could be associated with these transactions.

² Including entities in which your company owns directly or indirectly more than 50% of the shares or voting rights or entities over which it exercises control.

³ Planned Activities include any contract under negotiation or Activities being developed during the current fiscal year and/or over the next fiscal year.



PLEASE COMPLETE AND RETURN THE QUESTIONNAIRE

MSCs – CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA and SYRIA

We acknowledge that it is a general policy of BNPP, based on the internal assessment of the risks and the economic situation, to generally not process or otherwise engage in any activity (even when such activity may be legally permitted) for, on behalf of, or for the benefit of, a **sanctioned individual, entity, or organization⁴ targeted by French, European Union, or United States authorities, or other applicable sanctions regimes**, notably any activity involving directly or indirectly, **CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA or SYRIA** and the non-government controlled areas of Ukraine in the oblasts of **Donetsk, Kherson, Luhansk, and Zaporizhzhia**; and any transaction linked to any activity tied to the technology, defence and related material, construction, aerospace, or manufacturing sectors of the Russian Federation economy, payments from or to Russia, import or export from/to Russia of products/goods and items or technology that fall under current applicable EU-US sanctions and referred to in the **Russia Addendum** (collectively, "Subject Transactions").

We further confirm, to the best of our knowledge, that any activity conducted by us (as well as by our Controlled Perimeter) related to Subject Transactions is permissible, exempted or licensed/authorized and we will not, nor any of our subsidiaries, directly or indirectly use any account(s) or any products and services provided by BNPP ⁵, regardless of the currency, in relation to Subject Transactions, even if licensed by the relevant authorities.

We will promptly notify BNPP if we, at any time, are no longer able to comply with any of the aforementioned representations.

Company hereby represents that the statements above are fully accurate

- **COMPANY NAME:**
- **COMPANY ADDRESS:**
- **COMPANY GROUP NAME:**
(if applicable)
- **COMPLETED BY*:**
(name and title)
- **SIGNATURE:**
- **DATE:**

**This questionnaire must be completed by a Senior Representative(s) of the Client who is/are in a position to respond on behalf of the Company and can assert and commit to the accuracy of the MSCQ (independently of being appointed as authorized signatory). Examples include but are not limited to: CEO, CFO, COO, President, Treasurer, Legal or Compliance Representative, or other Senior Representative(s).*

⁴ Including an entity directly or indirectly owned 50% or more or controlled (directly or indirectly) by such sanctioned individual, entity or organization; or an individual or entity acting on behalf of such sanctioned individual, entity or organization.

⁵ BNPP includes BNP Paribas S.A. as well as its subsidiaries, branches, and affiliates.



PLEASE COMPLETE AND RETURN THE QUESTIONNAIRE

MSCs – CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA and SYRIA

CURRENT AND PLANNED EXPOSURE

REVENUES – CONSOLIDATED* INFORMATION

1.1 Current Activities

To the best of your knowledge, for Current Activities:

- please quantify your MSC exposure¹ by identifying (a) **percentage** of revenues of your company and your company's Control Perimeter accounted for by these Current Activities
- please also provide the (b) **absolute value in EUR** (in ranges) for revenues accounted for by these Current Activities

MSC	EXPOSURE ² IN TERMS OF REVENUES	
	Current Activities (last fiscal year or last 12 months)	
	Percentage (a)	Absolute value in € (b)
Crimea/Sevastopol	%	☐ From 0 to <1M ☐ From 10M to <100M ☐ From 1M to <10M ☐ Above 100M
Cuba	%	☐ From 0 to <1M ☐ From 10M to <100M ☐ From 1M to <10M ☐ Above 100M
Iran	%	☐ From 0 to <1M ☐ From 10 to <100M ☐ From 1M to <10M ☐ Above 100M
North Korea	%	☐ From 0 to <1M ☐ From 10M to <100M ☐ From 1M to <10M ☐ Above 100M
Syria	%	☐ From 0 to <1M ☐ From 10M to <100M ☐ From 1M to <10M ☐ Above 100M
Aggregated current exposure in % (Addition of all the above percentages)	%	

Please describe your Current Activities (including goods, services and currencies) that directly or indirectly involve MSCs.

*Consolidated: Inclusive of the Company itself as a Parent, and its Subsidiaries and Affiliates

¹ No information provided is understood as no exposure.

² The concept of substantial transformation is to be considered on a case-by-case basis.



PLEASE COMPLETE AND RETURN THE QUESTIONNAIRE

MSCs – CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA and SYRIA

CURRENT AND PLANNED EXPOSURE

1.2 Planned Activities

- Please choose all that apply regarding your **Planned Activities** to specify whether the anticipated revenues are increasing, decreasing, remain stable/will not change compared to the previous fiscal year or last 12 months.
- Please choose “no activity” if your company is planning on completely stopping Current Activities within the current fiscal year.

MSC	Increasing	Decreasing	Stable / No change ¹	No activity ²
Crimea/Sevastopol	☐	☐	☐	☐
Cuba	☐	☐	☐	☐
Iran	☐	☐	☐	☐
North Korea	☐	☐	☐	☐
Syria	☐	☐	☐	☐

- Please add any relevant comments.

ASSETS – CONSOLIDATED* INFORMATION

1.3 Current Activities

To be the best of your knowledge, does your company or any member of your company's Controlled Perimeter have directly or indirectly (i.e. via investments or ownership, among others) any **current or planned physical presence** (such as offices, branches...) in an MSC?

- YES ☐
- NO ☐

If YES, please describe.

*Consolidated: Inclusive of the Company itself as a Parent, and its Subsidiaries and Affiliates

¹ There are current activities in an MSC and there is no planned increase or decrease of said activity.

² There is no current activities in an MSC and there are no planned activities in the same MSC (the situation is not planned to change).



PLEASE COMPLETE AND RETURN THE QUESTIONNAIRE

MSCs – CRIMEA/SEVASTOPOL, CUBA, IRAN, NORTH KOREA and SYRIA

REVENUES – NON-CONSOLIDATED INFORMATION

1.4 Current Activities

If the exposure disclosed in the section 1.1 is not only related to you as legal entity, then complete the following.

Are you in position to answer the following questions with regards to your affiliates, subsidiaries and branches' exposition?

- YES ☐
■ NO ☐

If YES, to the best of your knowledge, for **Current Activities**:

For each individual legal entities of your Control Perimeter, including you as legal entity, which are clients of BNPP, please quantify the MSC exposure¹ for activities over the last fiscal year or last 12 months for your Company and your Company's Control Perimeter by:

- identifying the percentage of revenues (a)
- selecting the range corresponding to the absolute value in EU (b).



Please list only the individual legal entities which have themselves an MSC exposure above 0%. If the individual legal entity has exposure to numerous MSCs, list the MSCs in respective rows.

Legal Entity Name	Related MSC	Exposure	
		Current Revenues (last fiscal year or last 12 months)	
		Percentage (a)	Absolute Value in € (b)
		%	☐ From 0 to <1M ☐ From 1M to <10M ☐ From 10 to <100M ☐ Above 100M
			Description of the underlying activity
		%	☐ From 0 to <1M ☐ From 1M to <10M ☐ From 10 to <100M ☐ Above 100M
			Description of the underlying activity
		%	☐ From 0 to <1M ☐ From 1M to <10M ☐ From 10 to <100M ☐ Above 100M
			Description of the underlying activity

¹ No information provided is understood as no exposure higher than 0 for any MSCs.